| <i>p</i> 2.5 | | | TEW | |
|--|--|--------------------------------------|------------------------------------|---------------------|
| | · · · · · · · · · · · · · · · · · · · | | 1/20 | -c/160 |
| OIPE | B | Τ | 70 | -6/10 |
| La son | REQUEST | Application Numb | per 09/67 | 70,214 |
| APR 2 8 2004 | FOR | Filing Date | 09/25 | 5/2000 |
| VX NO | DEXAMINATION (RCE) | First Named Inve | ntor Christine E | E. Browning |
| TRACE WAR T | RANSMITTAL | Group Art Unit | 16 | 331 |
| Subsection (b) of 3 | 5 U.S.C. § 132, effective on May 29, 2000, d examination of an utility or plant application | Examiner Name | Cheyn | e D. Ly |
| file | d examination of an utility of plant application d on or after June 8, 1995. n Inventors Protection Act of 1999 (AIPA). | Attorney Docket I | Number 90° | 10-3 |
| This is a Request for Request for Continued Ex | Continued Examination (RCE) under 37 C camination (RCE) practice under 37 CFR 1.114 does plication. See instruction Sheet for RCE's (not to be | not apply to any utility of | or plant application filed prior t | tion. to June 8, |
| Submission rec | quired under 37 C.F.R. § 1.114 | | | |
| | | | | |
| enclosed with the RCE | ly submitted Note: If the RCE is proper, any will be entered in the order in which they were file | ed unless applicant ins | structs otherwise. If applican | |
| i. \square Cons | iled unentered amendment(s) entered, applicant ider the amendment(s)/reply under 37 | C.F.R. § 1.116 pr | | |
| ii. 🔲 Cons | nentered amendment(s) referred to above will be entere ider the arguments in the Appeal Brief | _{d).} or Reply Brief pre | viously filed on | |
| iii. 🔲 Othe | | | | |
| b. ⊠ Enclosed i. ⊠ Amer | t ndment/Reply | | | |
| ii. 🔲 Affida | avit(s)/Declaration(s) | | | |
| | r Eac Transmittal | | 00000085 09670214 | |
| _ | | 01 FC:1801 | 770.00 0 | P |
| 2. Miscellaneous | | | | |
| | ion of action on the above-identified ar | | | |
| a period b. ☐ Other | of months. (Period of suspension | shall not exceed 3 month | s; Fee under 37 C.F.R. § 1.17(i) | required) |
| | | | | |
| 3. Fees The | RCE fee under 37 C.F.R. § 1.17(e) is rec | uired by 37 C.F.R. | § 1.114 when the RCE | is filed. |
| | ector is hereby authorized to charge the | e following fees, o | r credit any overpayme | ents, to |
| Deposit Acc | fee required under 37 C.F.R. § 1.17(e) |) | | |
| | nsion of time fee (37 C.F.R. §§ 1.136 a | | | |
| iii. ☐ Othe | | | | |
| · <u></u> | n the amount of \$1,664.00 enclosed at by credit card (Form PTO-2038 enclosed) | | | |
| d. 🛛 If neces | sary, the Director is hereby authorized | to charge any def | iciencies, or credit any | |
| overpayme | nts, to Deposit Account No. 50-0220 | | | |
| Myers Bigel S | ibley & Sajovec, P.A., P. O. Box 37428, F | Caleigh, North Caro | lina 27627, | |
| | 19) 854-1400, Facsimile: (919) \$54-1401, | Customer No. 2079 | 92 | |
| Nome (Dint/Time) | SIGNATURE OF APPLICANT, ATTO | | | 20.644 |
| Name (Print/Type) | Mitchell S. Bigel | 9\/ | on No. (Attorney/Agent) | 29,614 |
| Signature | CEPTIFICATE OF MA | | pril 26, 2004 | |
| | CERTIFICATE OF MAILING correspondence is being deposited with the Unit | ed States Postal Serv | ice with sufficient postage as | |
| in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on: | | | | |
| Name (Print/Type) | Susan E. Freedman | | | |
| Signature | June & Theedre | Date A | pril 26, 2004 | |

PTO/SB/17 (10-03)

04/26/2004

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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(\$) 1,664.00

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Signature

| Complete if Known | | |
|----------------------|-----------------------|--|
| Application Number | 09/670,214 | |
| Filing Date | 09/25/2000 | |
| First Named Inventor | Christine E. Browning | |
| Examiner Name | Cheyne D. Ly | |
| Art Unit | 1631 | |
| Attorney Docket No. | 9010-3 | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | |
|---|--|--------|--|--|--|--|
| ✓ Check Credit card Money Other None | 3. ADDITIONAL FEES | | | | | |
| Deposit Account: | Large Entity Small Entity | | | | | |
| Deposit Account 50-0220 | 1 | e Paid | | | | |
| Number | 1051 130 2051 65 Surcharge - late filing fee or oath | | | | | |
| Deposit Account Name Myers Bigel Sibley | 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet | | | | | |
| The Director is authorized to: (check all that apply) | 1053 130 1053 130 Non-English specification | | | | | |
| Charge fee(s) indicated below Credit any overpayments | 1812 2,520 1812 2,520 For filing a request for ex parte reexamination | —— | | | | |
| Charge any additional fee(s) or any underpayment of fee(s) | 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action | | | | | |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. | 1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action | | | | | |
| FEE CALCULATION | 1251 110 2251 55 Extension for reply within first month | | | | | |
| 1. BASIC FILING FEE | 1252 420 2252 210 Extension for reply within second month. | | | | | |
| Large Entity Small Entity | 1253 950 2253 475 Extension for reply within third month | | | | | |
| Fee Fee Fee Fee Description Fee Paid | 1254 1,480 2254 740 Extension for reply within fourth month | | | | | |
| Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee | 1255 2,010 2255 1,005 Extension for reply within fifth month | | | | | |
| 1002 340 2002 170 Design filing fee | 1401 330 2401 165 Notice of Appeal | | | | | |
| 1003 530 2003 265 Plant filing fee | 1402 330 2402 165 Filing a brief in support of an appeal | | | | | |
| 1004 770 2004 385 Reissue filing fee | 1403 290 2403 145 Request for oral hearing | | | | | |
| 1005 160 2005 80 Provisional filing fee | 1451 1,510 1451 1,510 Petition to institute a public use proceeding | | | | | |
| | 1452 110 2452 55 Petition to revive - unavoidable | | | | | |
| SUBTOTAL (1) (\$) | 1453 1,330 2453 665 Petition to revive - unintentional | | | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1501 1,330 2501 665 Utility issue fee (or reissue) | | | | | |
| Extra Claims below Fee Paid | 1502 480 2502 240 Design issue fee | | | | | |
| Total Claims 95 -20** = 21 x 18 = 378 | 1503 640 2503 320 Plant issue fee | | | | | |
| Claims 9 - 3** = 6 X 66 = 516 | 1460 130 1460 130 Petitions to the Commissioner | | | | | |
| Multiple Dependent = | 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) | | | | | |
| Large Entity Small Entity | 1806 180 1806 180 Submission of Information Disclosure Stmt | | | | | |
| Fee Fee Fee Fee <u>Fee Description</u> Code (\$) | 8021 40 8021 40 Recording each patent assignment per property (times number of properties) | | | | | |
| 1202 18 2202 9 Claims in excess of 20 | 1809 770 2809 385 Filing a submission after final rejection | | | | | |
| 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid | (37 CFR 1.129(a)) 1810 770 2810 385 For each additional invention to be | | | | | |
| 1204 86 2204 43 ** Reissue independent claims | examined (37 CFR 1.129(b)) | | | | | |
| over original patent | 1801 770 2801 385 Request for Continued Examination (RCE) 77 | 70 | | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 | 1802 900 1802 900 Request for expedited examination | | | | | |
| and over original patent | of a design application Other fee (specify) | | | | | |
| SUBTOTAL (2) (\$) 894 | | == | | | | |
| of number previously paid, if greater, For Reissdes, see above | | | | | | |
| SUBMITTED BY (Complete (if applicable)) | | | | | | |
| Name (Print/Type) Mitchell C Digo! | Registration No. 20 644 | | | | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In te: Christine E. Browning et al.

Group Art Unit: 1631 Examiner: Cheyne D. Ly

Filed: September 25, 2000

Confirmation No.: 4277

For: SYSTEMS, METH

SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR PREPARING, DOCUMENTING AND REPORTING CHEMICAL PROCESS

HAZARD ANALYSES

April 26, 2004

Mail Stop RCE Commissioner for Patents Box 1450 Alexandria, VA 22313-1450

AMENDMENT ACCOMPANYING REQUEST FOR CONTINUED EXAMINATION

Sir:

Applicants provide the present Amendment to address the issues raised in the final Office Action mailed January 29, 2004.

It is not believed that an extension of time and/or additional fee(s)-including fees for net addition of claims-are required, beyond those that may otherwise be provided for in documents accompanying this paper. In the event, however, that an extension of time is necessary to allow consideration of this paper, such an extension is hereby petitioned under 37 C.F.R. §1.136(a). Any additional fees believed to be due in connection with this paper may be charged to our Deposit Account No. 50-0220.

04/29/2004 WASFAW1 00000085 09670214

02 FC:1201 03 FC:1202

516.00 DI 378.00 DI